

## Unit III - Linear Mappings

- linear map(ping)s, transformations, operators
- kernel and image of a linear mapping
  - rank and nullity
- matrix transformations
- non-singular and invertible maps
- matrix representation of a general linear map
- change of basis
- similarity relationship

## Several matrix threads converging....

We use matrices in three separate [but related] contexts that must be kept clear....

1. As vectors in the vector space  $M_{m,n}$  of matrix addition and scalar multiplication
2. To generate row and column spaces
  - examining linear independence of vectors in  $\mathbb{R}^n$
  - in applications related to linear systems [last unit]
3. To define and/or represent linear mappings between vector spaces
  - this application motivates the matrix product definition
  - we study linear mappings in this unit....

## The basic definition

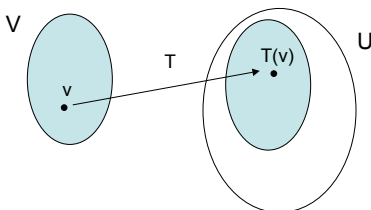
- $V$  &  $U$  are vector spaces over the same scalars
- a function  $f: V \rightarrow U$  is a *linear mapping* if it preserves the vector space operations
- this means that for  $v, w \in V$  and scalar  $k$  ...
  - $f(v+w) = f(v) + f(w)$
  - $f(kv) = kf(v)$
- alternative terminology
  - linear *transformation* [particularly when  $f: \mathbb{R}^n \rightarrow \mathbb{R}^m$ ]
  - linear *operator* when  $f: V \rightarrow V$  [same v.s.]
  - linear *map*
  - linear *function*

## Example: linear maps

[Problem 5.9] Show that  $T: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  defined by  $T(x,y) = (x+y, x)$  is a linear map.

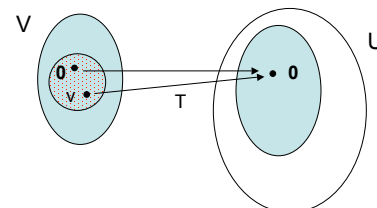
## Image of a linear map

- the *image (range)* of  $T$  is a subspace of  $U$  ....  
 $T(V) = \text{im}(T) = \{u \in U \mid u = T(v) \text{ for some } v \in V\}$



## Kernel of a linear map

- for any linear map  $T(\mathbf{0}_V) = \mathbf{0}_U$ 
  - i.e. the zero vector in  $V$  maps to the zero vector in  $U$
- the *kernel* of  $T$  is a subspace of  $V$  ....  
 $\ker(T) = \{v \in V \mid T(v) = \mathbf{0}\}$



### Some important linear maps

- the **zero map**  $T_0: V \rightarrow U$  defined by  $T_0(v) = \mathbf{0}$
- the **identity operator**  $T_1: V \rightarrow V$  defined by  $T_1(v) = v$
- a **dilation** [ $k > 1$ ] and **contraction** [ $0 < k < 1$ ] **operator**  $T: V \rightarrow V$  defined by  $T(v) = kv$ 
  - re-visit this idea when we've studied eigenvalues [unit IV]
- a **projection operator**  $T: \mathbb{R}^n \rightarrow W$  = a subspace of  $\mathbb{R}^n$ 
  - $\mathbb{R}^3$  onto the  $xy$ -plane defined by  $T(x,y,z) = (x,y,0)$
  - $\mathbb{R}^3$  onto the  $z$ -axis defined by  $T(x,y,z) = (0,0,z)$

### Some other important linear maps

- a **reflection operator**  $T: \mathbb{R}^n \rightarrow \mathbb{R}^n$ 
  - e.g.  $T(x,y,z) = (-x,y,z)$  reflection in the  $yz$ -plane
- a **rotation operator**  $T: \mathbb{R}^2 \rightarrow \mathbb{R}^2$ 
  - $T(x,y) = (x \cos \theta - y \sin \theta, x \sin \theta + y \cos \theta)$  rotates  $(x,y)$  by angle  $\theta$  counter-clockwise
- if  $B$  is a basis of  $V$  the **coordinate representation** is  $T: V \rightarrow \mathbb{R}^n$  defined by  $T(v) = [v]_B$  = the coordinates of  $v$  with respect to  $B$

### Examples: linear maps of function spaces

- $T: P_n \rightarrow P_{n+1}$  defined by  $(T(p))(t) = t p(t)$  is a linear map
- the **differentiation map**  $D: C^1[-\infty, \infty] \rightarrow F[-\infty, \infty]$  defined by  $(D(f))(x) = f'(x)$  the derivative function
- the **integration map**  $J: C^0[-\infty, \infty] \rightarrow C^1[-\infty, \infty]$  defined

$$\text{by } (J(f))(x) = \int_0^x f(t) dt$$

### Non-examples: map that are NOT linear

- $T: M_{nn} \rightarrow \mathbb{R}$  defined by  $T(A) = \det(A)$ 
  - not linear because  $\det(A+B) \neq \det A + \det B$
- $T: \mathbb{R}^3 \rightarrow \mathbb{R}$  defined by  $T(x,y,z) = x^2 + y^2 + z^2$ 
  - not linear because  $T(kv) = k^2 T(v)$
- a translation operator  $T: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  defined by  $T(x,y) = (x+2, y-5)$ 
  - not linear because  $T(0) = (2, -5) \neq (0, 0)$
- the operator  $T: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  defined by  $T(x,y) = (xy, x)$ 
  - not linear because  $T(v+w) \neq T(v) + T(w)$

### Composition of mappings

- let  $T_1: V \rightarrow U$  and  $T_2: U \rightarrow W$  be linear maps
- the **composition** of the two maps is the map  $T_2 \circ T_1: V \rightarrow W$  defined by  $(T_2 \circ T_1)(v) = T_2(T_1(v))$
- the composition of two linear maps is a linear map

### Matrix transformations

- for any  $m \times n$  matrix  $A$  we can define a **matrix transformation**  $T_A: \mathbb{R}^n \rightarrow \mathbb{R}^m$  by the matrix product  $T_A(v) = Av$ 
  - the identity map corresponds to the identity matrix
  - the zero map corresponds to the zero matrix
- let  $A$  be  $m \times p$  and  $B$  be  $p \times n$  matrices
- the composition of the two linear transformations  $T_A: \mathbb{R}^p \rightarrow \mathbb{R}^m$  and  $T_B: \mathbb{R}^n \rightarrow \mathbb{R}^p$  is  $T_{AB}: \mathbb{R}^n \rightarrow \mathbb{R}^m$
- so composition of linear transformations corresponds to the product of their matrices

$$T_A \circ T_B: \mathbb{R}^n \rightarrow \mathbb{R}^m$$

### Example: matrix transformations

[Problem 5.10] Use matrices to show that  $T: \mathbb{R}^3 \rightarrow \mathbb{R}^2$  defined by  $T(x,y,z) = (x+y+z, 2x-3y+4z)$  is a linear map.

### Using bases to define transformations

- let  $\{v_1, \dots, v_n\}$  be a basis of  $V$  and  $\{u_1, \dots, u_n\}$  any vectors in  $U$
- define a mapping  $T: V \rightarrow U$  by
$$T(v) = a_1u_1 + \dots + a_nu_n$$
where  $v = a_1v_1 + \dots + a_nv_n$  is expressed in terms of the given basis
- this completely characterizes a **unique linear map**  $T: V \rightarrow U$  in terms of its action on a basis:
$$T(v_1) = u_1, T(v_2) = u_2, \dots, T(v_n) = u_n$$
- to show this take  $v = a_1v_1 + \dots + a_nv_n$  and  $w = b_1v_1 + \dots + b_nv_n$
- we have....

### ....Using bases to define transformations

- $T(v+w) = T[(a_1 + b_1)v_1 + \dots + (a_n + b_n)v_n]$ 
$$= (a_1 + b_1)u_1 + \dots + (a_n + b_n)u_n$$
$$= (a_1u_1 + \dots + a_nu_n) + (b_1u_1 + \dots + b_nu_n)$$
$$= T(v) + T(w)$$
- $T(kv) = T(ka_1v_1 + \dots + ka_nv_n)$ 
$$= ka_1u_1 + \dots + ka_nu_n$$
$$= k(a_1u_1 + \dots + a_nu_n)$$
$$= kT(v)$$
- so the mapping is linear
- it is also easy to show that putting  $T(v_i) = u_i$  defines a unique map  $T$  [see problem 5.13]

### Example: Using bases to define a linear map

[Problem 5.14] Find the unique linear map  $T: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  so that  $T(1,2) = (2,3)$  and  $T(0,1) = (1,4)$ .

### Example: Using bases

(a) Find the unique linear map  $T: \mathbb{R}^3 \rightarrow \mathbb{R}^2$  so that  $T(1,1,1) = (1,0)$ ,  $T(1,1,0) = (2,-1)$ ,  $T(1,0,0) = (4,3)$  [Translation: Find a formula for  $T(x,y,z)$ ] (b) Evaluate  $T(2,-3,5)$ .

### .....Example: Using bases

### The kernel and image of a linear map

- $T: V \rightarrow U$  is a linear map ....
- $T(V)$  is a subspace of U .... [the image of T]
- $\ker(T)$  is a subspace of V .... [the kernel of T]

Don't get confused about this point!

### Finding the image of a linear map

- if  $\{v_1, \dots, v_n\}$  span  $V$  and  $T: V \rightarrow U$  is a linear map then  $\{T(v_1), \dots, T(v_n)\}$  span the image  $T(V)$ 
  - let  $u \in T(V)$
  - then  $u = T(v)$  for some  $v \in V$
  - but  $v = a_1v_1 + \dots + a_nv_n$
  - so  $u = T(a_1v_1 + \dots + a_nv_n) = a_1T(v_1) + \dots + a_nT(v_n)$
- so a linear map preserves linear combinations of vectors

### Finding the image of a matrix transformation

- for a matrix transformation  $T_A: \mathbb{R}^n \rightarrow \mathbb{R}^m$  the image  $T_A(\mathbb{R}^n)$  is precisely the column space of  $A$ 
  - consider  $\mathbb{R}^3$  and take the standard basis vectors  $e_1, e_2, e_3$

$$T_A(e_2) = T_A(0, 1, 0) = \begin{bmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{bmatrix} \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} = \begin{bmatrix} a_{12} \\ a_{22} \\ a_{32} \end{bmatrix}$$

- so  $T_A(e_2) = C_2$  the second column of  $A$
- in general  $T_A(e_i) = C_i$  the  $i$ th column of  $A$
- since the standard basis vectors span  $\mathbb{R}^n$  the columns of  $A$  must span the image  $T_A(\mathbb{R}^n)$  as per slide 20 result

### The rank of a linear map

- the rank of a linear map  $T: V \rightarrow U$  is the dimension of its image  $T(V)$
- if  $T_A: \mathbb{R}^n \rightarrow \mathbb{R}^m$  is a matrix transformation the rank of  $T_A$  is the rank of the matrix  $A$ 
  - $T_A(\mathbb{R}^n)$  is just the column space of  $A$  [slide 21] so the two concepts coincide

### Example: image of a linear map

[Text example 5.9] find a basis for the image of  $T: \mathbb{R}^4 \rightarrow \mathbb{R}^3$  defined by  $T(x, y, z, t) = (x - y + z + t, 2x - 2y + 3z + 4t, 3x - 3y + 4z + 5t)$

### Finding the image of a linear map

- this example illustrates something important
- even though a linear map preserves linear combinations of vectors ....
- .... a linear map does NOT preserve linear independence in general
- so a basis of  $V$  does NOT necessarily map to a basis of the image  $T(V)$

### Example: image of a linear map

[Problem 5.16] Find a basis for the image of  $T: \mathbb{R}^4 \rightarrow \mathbb{R}^3$  defined by  $T(x,y,z,t) = (x-y+z+t, x+2z-t, x+y+3z-3t)$

### Example: image of a linear map

[Problem 5.17] find a basis for the image of  $T: \mathbb{R}^3 \rightarrow \mathbb{R}^3$  defined by  $T(x,y,z) = (x+2y-z, y+z, x+y-2z)$

### The nullity of a linear map

- the *nullity* of a linear map  $T: V \rightarrow U$  is the dimension of its kernel  $\ker(T)$
- if  $T_A: \mathbb{R}^n \rightarrow \mathbb{R}^m$  is a matrix transformation the kernel  $\ker(T_A)$  is called the *nullspace* of  $A$
- $\ker(T_A)$  consists of all vectors  $v$  for which  $Av = 0$ .... so to find a basis for  $\ker(T_A)$  you just solve this system of linear equations

### A **VERY** important result

- for a linear map  $T: V \rightarrow U$  we have  
**rank  $T$  + nullity  $T$  = dim  $V$**
- for a matrix transformation  $T_A: \mathbb{R}^n \rightarrow \mathbb{R}^m$  this translates to a matrix result about  $A$   
**rank  $A$  + nullity  $A$  =  $n$**

**These relationships are central to all of linear algebra**

### Examples: kernel of a linear map

[Text example 5.9] find a basis for the kernel of  $T: \mathbb{R}^4 \rightarrow \mathbb{R}^3$  defined by  $T(x,y,z,t) = (x-y+z+t, 2x-2y+3z+4t, 3x-3y+4z+5t)$

### Examples: kernel of a linear map

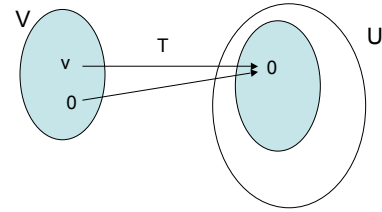
[Problem 5.16] Find a basis for the kernel of  $T: \mathbb{R}^4 \rightarrow \mathbb{R}^3$  defined by  $T(x,y,z,t) = (x-y+z+t, x+2z-t, x+y+3z-3t)$

### Examples: kernel of a linear map

[Problem 5.17] Find a basis for the kernel of  $T: \mathbb{R}^3 \rightarrow \mathbb{R}^3$  defined by  $T(x,y,z) = (x+2y-z, y+z, x+y-2z)$

### Non-singular maps

- a map  $T: V \rightarrow U$  is *non-singular* if  $\ker T = \{0\}$
- equivalently a map is singular if the image of some non-zero vector is zero as shown below....

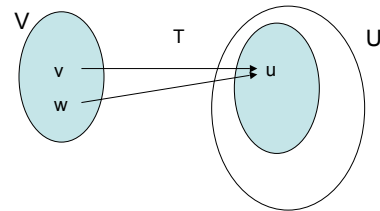


### Illustrative examples

- examples of singular maps:
  - the zero map is singular
  - a projection map is singular, e.g.  $T(x,y,z) = (x,y,0)$  because  $T(0,0,z) = (0,0,0)$  for any  $z$
  - the differentiation operator  $D$  on  $P_n$  is singular because all constant polynomials get mapped to the zero polynomial
  - the maps in example 5.9 and problems 5.16&5.17 [slides 30-31] are all singular because they have non-zero kernels
- examples of non-singular maps:
  - the identity, dilation, contraction, and rotation maps are all non-singular
  - the map  $T: \mathbb{R}^2 \rightarrow \mathbb{R}^3$  defined by  $T(x,y) = (x+y, x-y, x+y)$  is non-singular [ $T(x,y) = (0,0,0)$  implies  $(x,y) = (0,0)$ ]
  - **any matrix transformation  $T_A: \mathbb{R}^n \rightarrow \mathbb{R}^m$  for which rank  $A = n$  is non-singular [why?]**

### One-to-one maps

- a map  $T: V \rightarrow U$  is *one-to-one* if  $T(v) = T(w)$  implies  $v = w$
- obviously a singular map cannot be one-to-one but...not all non-singular maps are one-to-one
- maps as shown below are not one-to-one

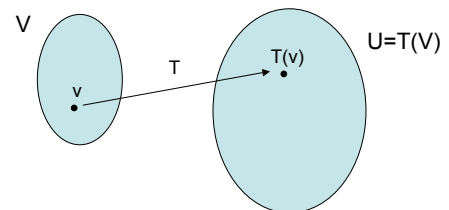


### Non-singular linear maps

- a linear map  $T: V \rightarrow U$  is one-to-one if and only if it is non-singular
  - $T$  one-to-one implies only the zero vector maps to the zero vector of  $U$
  - $T$  non-singular and  $T(v) = T(w)$  implies  $T(v-w) = T(v) - T(w) = 0$  so  $v-w = 0$  or  $v = w$
- a non-singular linear map  $T: V \rightarrow U$  preserves the linear independence of vectors in the images
  - suppose  $v_1, v_2, \dots, v_n$  are linearly independent
  - suppose  $a_1 T(v_1) + \dots + a_n T(v_n) = 0$
  - $T$  is linear so  $T(a_1 v_1 + \dots + a_n v_n) = 0$
  - $T$  is non-singular so  $a_1 v_1 + \dots + a_n v_n = 0$
  - but  $v_1, v_2, \dots, v_n$  are linearly independent so all  $a_i = 0$

### Onto maps

- a map  $T: V \rightarrow U$  is *onto* if the range  $T(V) = U$
- in other words 'the images of vectors in  $V$  cover the entire space  $U$ '



### Onto linear maps

- a non-singular linear map  $T: V \rightarrow U$  is automatically one-to-one but it may not be onto....
- example
  - define the map  $T: P_n \rightarrow P_{n+1}$  as 'multiplication by  $t$ ' [slide 9]
  - $T(a_0 + a_1t + a_2t^2 + \dots + a_nt^n) = a_0t + a_1t^2 + a_2t^3 + \dots + a_nt^{n+1}$
  - $T$  is a non-singular linear map but.....
  - $T$  is not onto because the constant polynomials in  $P_{n+1}$  are not in the image of  $T$
- in general for  $T: V \rightarrow U$  if  $\dim U > \dim V$  it is possible to define one-to-one (non-singular) maps that aren't onto
- the problem here is that there is 'more room in  $U$  than  $V$ ' so there can be vectors in  $U$  not in  $T(V)$

### Non-singular linear maps again

- the situation is fixed when  $U$  and  $V$  have the same finite dimension....
- provided  $\dim V = \dim U$  a linear map  $T: V \rightarrow U$  is onto if and only if it is non-singular
  - if  $T$  is onto then  $T(V) = U \Rightarrow \text{rank } T = \dim U = n$  say, so nullity  $T = \dim V - \text{rank } T = n - n = 0$  so  $\ker T = \{0\}$ , i.e.  $T$  is non-singular
  - if  $T$  is non-singular then nullity  $T = 0 \Rightarrow \text{rank } T = \dim V - \text{nullity } T = n - 0 = n$ , i.e.  $\dim T(V) = n = \dim U$ , so we must have  $T(V) = U$  [why?], i.e.  $T$  is onto

### Non-singular linear operators

- in particular, for linear operators  $T: V \rightarrow V$  all of the following are equivalent
  - $T$  is non-singular
  - $T$  is one-to-one
  - $T$  is onto
- further in particular, these results apply in the case of matrix transformations  $T_A: \mathbb{R}^n \rightarrow \mathbb{R}^n$ , i.e. for which  $A$  is square...all of the following are equivalent
  - $Av = 0$  has only the zero solution  $v = 0$  [non-singular]
  - any vector  $b \in \mathbb{R}^n$  can be written  $b = Ax$  for some  $x \in \mathbb{R}^n$  [onto]
  - $\text{rank } A = n$  [onto]

### Invertible maps

- in order to invert a map  $T: V \rightarrow U$  we need to define a map  $T^{-1}: U \rightarrow V$  which reverses  $T$
- defining  $T^{-1}$  in this way by un-doing the action of  $T$  requires that all vectors in  $U$  must be images of
  - some vector in  $V$  [ $T$  is onto]
  - only one vector in  $V$  [ $T$  is one-to-one]
- i.e. to be invertible the map has to be one-to-one and onto  $U$  [called an *isomorphism*]
- formal definition: a map  $T: V \rightarrow U$  is *invertible* if there is another map  $T^{-1}: U \rightarrow V$  so that  $T^{-1} \circ T$  is the identity operator on  $V$  and  $T \circ T^{-1}$  is the identity operator on  $U$

### Invertible matrix transformations

- if the linear map is a matrix transformation  $T_A: \mathbb{R}^n \rightarrow \mathbb{R}^m$  it is invertible precisely when the matrix  $A$  is invertible
- the inverse map is defined by  $T_A^{-1} = T_{A^{-1}}$
- $A$  must be a square matrix [slides 38-39]
- so a square matrix is invertible if and only if it is non-singular...the two concepts coincide

### Example: non-singular non-invertible linear map

[Problem 5.26]  $T: \mathbb{R}^2 \rightarrow \mathbb{R}^3$  defined by  $T(x,y) = (x+y, x-2y, 3x+y)$ .

### Example: invertible matrix transformation

[Problem 5.40] Is the operator  $T: \mathbb{R}^3 \rightarrow \mathbb{R}^3$  defined by  $T(x,y,z) = (2x, 4x-y, 2x+3y-z)$  invertible? Find the inverse.

### Plaine English summary 1

- $T: V \rightarrow U$  any old map
- a non-singular map
  - no non-zero vector maps to the zero vector
- a one-to-one map
  - two different vectors don't map to the same image vector
- an onto map
  - there are no vectors in  $U$  that aren't the image of some vector in  $V$
- an isomorphism
  - both one-to-one and onto
- an invertible map
  - must be an isomorphism to define an inverse map

### Plaine English summary 2

- a linear map  $T: V \rightarrow U$ 
  - preserves linear combinations
  - a spanning set for  $V$  maps to a spanning set for the image
  - is completely characterized by its action on a basis of  $V$
- a non-singular linear map
  - must also automatically be one-to-one
  - preserves the linear independence of vectors in the image vectors
- a non-singular linear map with  $\dim U = \dim V$ 
  - must also automatically be onto
  - is an isomorphism
  - is invertible
- for linear operators all of the terms are equivalent

### Matrix representations: to do list

1. How do we construct a matrix representation  $A$  for a general linear transformation  $T: V \rightarrow W$ ?
  - we'll restrict to the case of a linear operator where  $T: V \rightarrow V$ , but only to keep things a little simple
  - in this case the matrix  $A$  will be square and....
  - $T(v) = A[v]$  where  $[v] \in \mathbb{R}^n$  are coordinates of  $v \in V$
2. How do the coordinates of a vector change when we use a different basis?
3. How does the matrix representation of a linear operator change if we change the basis?

### 1. Matrix representation of a linear operator

- let  $V$  be a finite-dimensional v.s.
- choose a basis  $\beta = \{u_1, \dots, u_n\}$  of  $V$ ..**notation alert :-)**
- a linear operator  $T: V \rightarrow V$  is completely determined by its action on a basis of  $V$ :  $T(u_1), \dots, T(u_n)$
- these are vectors in  $V$ , so they can be expressed in terms of the basis  $\beta$ :
 
$$T(u_1) = a_{11}u_1 + a_{12}u_2 + \dots + a_{1n}u_n$$

$$T(u_2) = a_{21}u_1 + a_{22}u_2 + \dots + a_{2n}u_n$$

$$\dots$$

$$T(u_n) = a_{n1}u_1 + a_{n2}u_2 + \dots + a_{nn}u_n$$
- recall the coordinates of  $T(u_i)$  with respect to  $\beta$  are just  $[T(u_i)]_\beta = [a_{i1}, a_{i2}, \dots, a_{in}]_\beta$

### Matrix representation of a linear operator

- arrange these coordinate vectors as the columns of a matrix:  $[T]_\beta = [ [T(u_1)]_\beta \mid [T(u_2)]_\beta \mid \dots \mid [T(u_n)]_\beta ]$
- $$= \begin{bmatrix} a_{11} & a_{12} & \dots & a_{1n} \\ a_{21} & a_{22} & \dots & a_{2n} \\ \vdots & \vdots & \dots & \vdots \\ a_{n1} & a_{n2} & \dots & a_{nn} \end{bmatrix}$$
- this is called the **matrix representation** of  $T$  with respect to the basis  $\beta$
  - we can use this matrix  $[T]_\beta$  and coordinate vectors in  $\mathbb{R}^n$  instead of  $T$  and vectors in  $V$  because:

$$[T(v)]_\beta = [T]_\beta [v]_\beta$$



### Example: Matrix representation in $\mathbb{R}^2$

[Problem 6.2]  $T(x,y) = (2x-7y, 4x+3y)$ ,  $\beta = \{u_1, u_2\} = \{(1,3), (2,5)\}$ . Find  $[T]_\beta$  and verify that  $[T]_\beta [v]_\beta = [T(v)]_\beta$  for  $v = (4,-3)$ .

### Finding matrix representations - method

- we restrict to a linear operator  $T:V \rightarrow V$ 
  - the matrix representation has to be square [why?]
- to find a matrix representation of  $T$  with respect to a basis  $\beta = \{u_1, u_2, \dots, u_n\}$  of  $V$ 
  - find a formula to express any vector  $v \in V$  as a linear combination of basis vectors  $u_1, u_2, \dots, u_n$  [convenient]
  - for each basis vector  $u_k$  find  $T(u_k)$  and express it as a linear combination of basis vectors  $u_1, u_2, \dots, u_n$
  - arrange these coordinates for  $T(u_k)$  as the columns of a matrix  $[T]_\beta$
  - this  $[T]_\beta$  is the required matrix representation of  $T$  with respect to  $\beta$

### Example: Matrix representation in $\mathbb{R}^3$

[Problem 6.5]  $T(x,y,z) = (2y+z, x-4y, 3x)$ ,  $\beta = \{u_1, u_2, u_3\} = \{(1,1,1), (1,1,0), (1,0,0)\}$ . Find  $[T]_\beta$  and verify that  $[T]_\beta [v]_\beta = [T(v)]_\beta$  for any  $v$ .

### .....Example: problem 6.5 (cont'd)

### Connection with matrix operators

- if  $T_A$  is a matrix transformation on  $\mathbb{R}^n$  the matrix representation of  $T_A$  with respect to the standard basis  $\varepsilon = \{e_1, e_2, \dots, e_n\}$  is simply the matrix  $A$
- in fancy notation we have  $[T_A]_\varepsilon [v]_\varepsilon = [Av]_\varepsilon$  for  $v \in \mathbb{R}^n$ .... or just  $[T_A] v = Av$  where the standard basis is understood [assumed]
- in general you can drop the subscript that tells you the basis if it is obvious....but if any doubt it's best for clarity to...
  - leave the subscript in, or
  - write out the linear combinations of basis vectors explicitly as in the text solutions [e.g. problems 6.5,6.6 etc]
- whenever we write " $T_A$ " and give the matrix  $A$  we are assuming the standard basis is being used

### Example: Matrix operator in $\mathbb{R}^3$

[Problem 6.6]  $T_A$  is a linear operator on  $\mathbb{R}^3$  defined by the matrix:

$$A = \begin{bmatrix} 1 & -2 & 1 \\ 3 & -1 & 0 \\ 1 & 4 & -2 \end{bmatrix} \quad \beta = \{u_1, u_2, u_3\} = \{(1,1,1), (0,1,1), (1,2,3)\}$$

is a basis of  $\mathbb{R}^3$ . Find  $[T_A]_\beta$  and verify that  $[T_A]_\beta [v]_\beta = [T_A(v)]_\beta = [Av]_\beta$  for any  $v$ .

....Example: problem 6.6 cont'd

## 2. Changing basis

- suppose we have two different bases for  $V$ :
  - the old basis  $\beta = \{u_1, u_2, \dots, u_n\}$  and...
  - the new basis  $\beta' = \{v_1, v_2, \dots, v_n\}$
- since  $\beta$  is a basis each new basis vector  $v_i$  can be expressed as a unique l.c. of old basis vectors in  $\beta$ :
 
$$v_1 = a_{11}u_1 + a_{12}u_2 + \dots + a_{1n}u_n$$

$$v_2 = a_{21}u_1 + a_{22}u_2 + \dots + a_{2n}u_n$$

$$\dots$$

$$v_n = a_{n1}u_1 + a_{n2}u_2 + \dots + a_{nn}u_n$$
- recall the coordinates of each new  $v_i$  with respect to the old basis  $\beta$  are just  $[v_i]_\beta = [a_{i1}, a_{i2}, \dots, a_{in}]_\beta$

## Changing basis

- arrange these coordinate vectors as the columns of a matrix:  $P = [ [v_1]_\beta \mid [v_2]_\beta \mid \dots \mid [v_n]_\beta ]$

$$= \begin{bmatrix} a_{11} & a_{12} & \dots & a_{1n} \\ a_{21} & a_{22} & \dots & a_{2n} \\ \vdots & \vdots & \dots & \vdots \\ a_{n1} & a_{n2} & \dots & a_{nn} \end{bmatrix}$$

- $P$  must be invertible [why?]
- $P$  gives a formula to change the coordinates of a vector from the new basis back to the old basis:

$$[w]_\beta = P[w]_{\beta'}$$

- to go the other way [new from old] we use  $P^{-1}$ :

$$[w]_{\beta'} = P^{-1}[w]_\beta$$

## Terminology alert

- $P$  expresses the new basis vectors in terms of the old ... hence its name "change of basis matrix from old to new" but....
- ... it's  $P^{-1}$  that converts coordinates in the old basis into coordinates expressed in the new basis
- unfortunately this terminology is not standard and can be confusing
- so be careful!
- the change of basis matrix  $P$  from the standard basis to a new basis  $\beta$  consists of the vectors of  $\beta$  arranged as columns
  - this works only when the old basis is the standard basis

## Example: changing basis from standard

[Example 6.6-6.7] Consider the standard basis  $\varepsilon = (e_1, e_2, e_3)$  and a new basis  $\beta = \{u_1, u_2, u_3\} = \{(1,0,1), (2,1,2), (1,2,2)\}$ . Find (a) the change of basis matrix  $P$  from  $\varepsilon$  to  $\beta$  and vice versa (b) the coordinates of the vector  $(1,3,5)$  with respect to the new basis.

..... Example: example 6.6-6.7 (cont'd)

### Changing basis - summary of method

- to change basis between ...
  - old basis  $\beta = \{u_1, u_2, \dots, u_n\}$  and
  - new basis  $\beta' = \{v_1, v_2, \dots, v_n\}$
  - find a formula to express any vector  $v \in V$  as a linear combination of basis vectors  $u_1, u_2, \dots, u_n$  [convenient]
  - for each basis vector  $v_k$  find the  $\beta$  coordinates  $[v_k]_\beta$
  - arrange these  $\beta$  coordinates for the  $v_k$ 's as the columns of the matrix  $P$
  - the inverse  $P^{-1}$  is the matrix that converts old  $\beta$  coordinates into new  $\beta'$  coordinates:

$$[w]_{\beta'} = P^{-1}[w]_\beta$$

### Example: changing basis in $\mathbb{R}^2$

[problem 6.17] Old basis  $\beta = \{u_1, u_2\} = \{(1, -2), (3, -4)\}$  and new basis  $\beta' = \{v_1, v_2\} = \{(1, 3), (3, 8)\}$ . Find the change of basis matrix  $P$  and verify that  $P[w]_{\beta'} = [w]_\beta$  for any vector  $w$ .

### .....Example: problem 6.17 cont'd

### 3. Changing basis in a matrix representation

- bases old  $\beta$  and new  $\beta'$  & change of basis matrix  $P$
- for a linear operator  $T: V \rightarrow V$  the matrix representation with respect to the new basis  $\beta'$  is

$$[T]_{\beta'} = P^{-1}[T]_\beta P$$

- if  $A$  and  $B$  are matrix representations of  $T$  with respect to different bases then there is an invertible matrix  $P$  so that

$$B = P^{-1}AP$$

- matrices related in this way are called *similar*
- this procedure is often very useful in practice to find a particularly simple form of the matrix representation, e.g. a diagonal matrix [Unit IV]

### Why does the change of basis formula work?

- let  $v \in V$  be any vector. Then we have:

$$\begin{aligned} P^{-1}[T]_{\beta'}P \cdot [v]_{\beta'} &= P^{-1}[T]_\beta \cdot P[v]_{\beta'} \\ &= P^{-1}[T]_\beta \cdot [v]_\beta \\ &= P^{-1} \cdot [T]_\beta [v]_\beta \\ &= P^{-1} \cdot [T(v)]_\beta \\ &= [T(v)]_{\beta'} \\ &= [T]_{\beta'} \cdot [v]_{\beta'} \end{aligned}$$

- so  $P^{-1}[T]_{\beta'}P = [T]_{\beta'}$  as required

### Example: linear operators and change of basis

[problem 6.23] The linear operator  $T$  is defined on  $\mathbb{R}^2$  by the formula  $T(x, y) = (5x - y, 2x + y)$ . The old basis is the standard basis  $\epsilon$  and the new basis is  $\beta = \{u_1, u_2\} = \{(1, 4), (2, 7)\}$ . Find (a) the two change of basis matrices  $P$  and  $P^{-1}$ , (b)  $[T]_\epsilon$  and (c)  $[T]_\beta$

.... Example: problem 6.23 cont'd

### Changing basis in a matrix representation-method

- old basis  $\beta = \{u_1, u_2, \dots, u_n\}$  and new basis  $\beta' = \{v_1, v_2, \dots, v_n\}$
- $T: V \rightarrow V$  is a linear operator with matrix representation  $[T]_\beta$
- to find the new matrix representation  $[T]_{\beta'}$  of  $T$  with respect to  $\beta'$  you can
  - find the  $\beta$  coordinates  $[T(v_k)]_\beta$  for each new basis vector and follow the procedure on slide 50  
OR [easier].....
  - find the change of basis matrix  $P$  and use the formula:

$$[T]_{\beta'} = P^{-1}[T]_\beta P$$

### Example: matrix representations change of basis

[problem 6.25] The linear operator  $T$  is defined on  $\mathbb{R}^3$  by the formula  $T(x, y, z) = (x+3y+z, 2x+5y-4z, x-2y+2z)$ . Find the matrix  $B$  which represents  $T$  with respect to the basis  $\beta = \{u_1, u_2, u_3\} = \{(1, 1, 0), (0, 1, 1), (1, 2, 2)\}$ .

.... Example: problem 6.25 cont'd

### Matrix representations: wrap-up

$T: V \rightarrow V$  a linear operator.  $\beta = \{u_1, \dots, u_n\}$  a basis of  $V$ .

$\beta' = \{v_1, \dots, v_n\}$  another basis of  $V$ .

1. The matrix representation of  $T$  with respect to  $\beta$  is the matrix  $[T]_\beta$ 
  - arrange the coordinate vectors  $[T(u_i)]_\beta$  as columns for  $[T]_\beta$
  - for any vector  $w$  we have  $[T(w)]_\beta = [T]_\beta [w]_\beta$
2. The change of basis matrix from  $\beta$  to  $\beta'$  coordinates is the matrix  $P$ 
  - arrange the coordinates of  $[v_i]_\beta$  as columns for  $P$
  - the coordinates of a vector  $w$  with respect to the new basis  $\beta'$  are given by  $[w]_{\beta'} = P^{-1}[w]_\beta$
3. The matrix representation of  $T$  with respect to the new basis  $\beta'$  is given by  $[T]_{\beta'} = P^{-1}[T]_\beta P$